

**SEC Rule 606 Report - Newbridge Securities Corp - 2nd Qtr, 2015****(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)****Securities Listed on New York Stock Exchange Euronext**

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	* Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>65.52</b>	<b>14.46</b>	<b>20.02</b>	<b>6,542</b>	<b>4,286</b>	<b>946</b>	<b>1,310</b>	<b>0</b>	<b>65.52</b>	<b>14.46</b>	<b>20.02</b>
UBS Securities LLC	83.41	80.40	91.23	87.63	5,457	3,446	863	1,148	0	63.15	15.81	21.04
Maxim Group LLC	15.50	19.09	8.25	9.01	1,014	818	78	118	0	80.67	7.69	11.64

100.00% of total customer orders were non-directed orders.

**Securities Listed on The Nasdaq Stock Market**

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	* Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>54.38</b>	<b>30.44</b>	<b>15.19</b>	<b>7,448</b>	<b>4,050</b>	<b>2,267</b>	<b>1,131</b>	<b>0</b>	<b>54.38</b>	<b>30.44</b>	<b>15.19</b>
UBS Securities LLC	87.92	83.31	94.93	90.36	6,548	3,374	2,152	1,022	0	51.53	32.86	15.61
Maxim Group LLC	11.43	16.02	4.63	8.58	851	649	105	97	0	76.26	12.34	11.40

100.00% of total customer orders were non-directed orders.

**Securities Listed on NYSE Amex or Regional Exchanges**

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	* Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>51.97</b>	<b>12.34</b>	<b>35.68</b>	<b>1,191</b>	<b>619</b>	<b>147</b>	<b>425</b>	<b>0</b>	<b>51.97</b>	<b>12.34</b>	<b>35.68</b>
UBS Securities LLC	86.90	82.07	88.44	93.41	1,035	508	130	397	0	49.08	12.56	38.36
Maxim Group LLC	12.01	17.45	8.84	5.18	143	108	13	22	0	75.52	9.09	15.38

100.00% of total customer orders were non-directed orders.

**Exchange-Listed Options**

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	* Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>10.46</b>	<b>89.54</b>	<b>0.00</b>	<b>908</b>	<b>95</b>	<b>813</b>	<b>0</b>	<b>0</b>	<b>10.46</b>	<b>89.54</b>	<b>0.00</b>
UBS Securities LLC	78.08	84.21	77.37	0.00	709	80	629	0	0	11.28	88.72	0.00
KCG Americas LLC	21.48	15.79	22.14	0.00	195	15	180	0	0	7.69	92.31	0.00

100.00% of total customer orders were non-directed orders.

[Material Aspects of Relationship with Route Venues](#)  
[How to read this report](#)

\* Unknown is part of Other.

© Copyright 2015 CoreOne Technologies.  
 All Rights Reserved.