

Newbridge Securities Corporation – Fee Schedule

ACAT- NON ACAT (Full or partial)Transfers Out of Cor Checks		\$175.00
Stop Payments/ Check Writing Stop Payments		\$35.00 per check
Returned Checks		\$55.00 per check
Prepayments***		\$50.00 plus interest
Returned ACH Items (Electronic Fund Transfers)		\$30.00
Individual Retirement Account (IRA)		
IRA Custodial Fee		\$55.00 per plan
IRA Transfers (including transfer of Roth to Traditional)		\$55.00 per check
IRA Termination Fee		\$60.00 per plan
Alternative Investment/Non Traded Reit /Limited Partnership Charges		
Alternative/Reit/Limited Partnership / Private Asset Preview		\$250.00
Annual Holding Fee (Charged Quarterly)		\$100.00 per account
Re-Registration		\$60.00
Firm Commission		\$29.95
Transfer On Death Registration (TOD per account)		
Application Fee		\$50.00
Change / Revocation		\$50.00
Distribution of Assets from Cor Clearing		\$225.00
Replacement of Lost Certificates		
Replacement of Lost Certificates not in Cor's possession		\$50.00 + costs (10% stock value for Ins & Transfer agent fee)
Stops on Certificates		\$35.00
Restricted Stock		
Clearance or Transfer of Restricted Stock		\$120.00 plus incurred charges
RUSH		\$175.00 Additional plus incurred charges
Transfer Agent Fee		As incurred by Cor
Physical/Electronic Security Processing		
Certificate Request	DTC	\$925.00
Penny Stock Re-Registration w/ agent (Domestic)		\$250.00
Penny Stock Re-Registration w/ agent (Canadian)		\$750.00
Rush Withdrawal	DTC	\$150.00 additional
Physical Deposits		\$30.00 per certificate
Returned / Rejected Deposits	DTC or Agent	\$185.00 per certificate
Transfer Agent Fee		As incurred by Cor Clearing
Long Positions (Sub Penny Book Entry)		\$0.0000007 per share, per month
Free Deliveries (Firm to Firm)	DTC	\$30.00
DWAC Deliveries		\$80.00
DWAC Reject		At Cost
DRS Transfer		\$80.00
DWAC Receipts / (All Non-NMS Stocks)		\$250.00 - \$1000 (Marijuana stocks \$500 extra)
ACAT Transfer / Physical Stock Receipts (All Non- NMS Stocks)	Review for Sale	\$250.00 - \$1000 (Marijuana stocks \$500 extra)
Illiquid Security Sales (Nasdaq, OTCBB, Pink Sheet) (Contact your Broker for further details)		Financing costs as incurred by Cor Clearing
Inactive Fee		\$50.00 per year
Cashless Stock Options		\$35.00 min (1% of proceeds + incurred charges)
Manual Options Exercise Request		\$35.00 per request
Cor Assisted Dividend Posting (asset not held at Cor)		\$7.50 per item
Cash Dividend Outgoing Check		\$7.50 per check
Wire Fee (Domestic)		\$30.00
Wire Fee (International)		\$60.00
Wire Research Requests/ Service Messages		\$60.00 per
Overnight Delivery (UPS) Domestic		\$30.00
Overnight Delivery (UPS) International		\$80.00
Reg T Extensions		\$35.00 per
Physical Settlements		\$125.00
Trades Settled Same Day (Cash Trades)		\$25.00
NY Window / Physical Delivery		\$50.00
Tender / Exchange Offer (Voluntaries Only)		\$45.00
Mandatory Reorg Corporate Action		\$10.00
Bond Redemption / Conversion		\$60.00 plus costs
Foreign Securities		
Transfer In and Out / Physical Certificates		\$100.00
Foreign Currency Conversions		\$60.00 per
Foreign Currency Transaction Postings		\$35.00 per
Foreign Custody Fee (per security charged monthly)		2.5 bps annually (subject to change)
Client Foreign Financial Intermediary (omnibus) Due Diligence Review		\$1000 - \$200 per hr. over 5 hrs.
Safe Keeping Fee (Vault fees) per certificate		\$35.00 per year (charged quarterly)
ADR Fee		As charged by agent
ADR custodial Fees		\$0.01-\$0.35 Per Share
Worthless Securities Charge (research & write-off)		\$30.00
Guaranteed Monthly Statements		\$25.00 per year
Exempt Client Special Request 1099		\$15.00 each 1099 issued
Cost of carry on fails to deliver (DVP/RVP Accounts Only)		LCBR + 3
Margin Debit & Cash Debit Interest		LCBR + 3
Cor Cash Plus (CCPA)		\$75.00 annual
CCPA Check Re-order		\$35.00 (100 checks)
Photo Copy Of Checks		\$10.00 each
Statement or 1099 reprints		\$10.00 each
Confirm reprints		\$5.00 each
Form W-8 Validation Fee		\$60.00 each

* The above fees for services provided by Cor may be charged to your account at the time of or prior to the service being rendered. Newbridge may receive a portion of the fees charged by the clearing firm (including, but not limited to, margin interest). Revisions will be provided to clients at least 30 days before the implementing of new or revised fees. Please contact your account executive if you have any questions or need additional information.

* LCBR is Cor Clearing's base rate.